

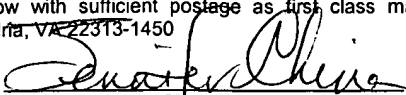


CASE CL/V-32843A

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

Jennifer China
Type or print name


Signature

July 28, 2005
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

JOSEPH MICHAEL LINDACHER

APPLICATION NO: 10/766,194

FILED: JANUARY 28, 2004

FOR: OPHTALMIC LENS HAVING AN OPTICAL ZONE BLEND DESIGN

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

FEE LETTER

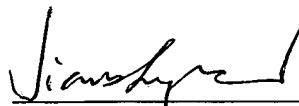
Sir:

Enclosed herewith is a Terminal Disclaimer in the above-identified application.

The Commissioner is hereby authorized to charge the \$130 fee under 37 CFR §1.20(d) and any additional fees that may be required to Deposit Account No. 50-2965 in the name of Ciba Vision. An additional copy of this paper is here enclosed.

Respectfully submitted,

CIBA Vision Corporation
Patent Department
11460 Johns Creek Parkway
Duluth, GA 30097-1556
(678) 415-4691
Date: July 28, 2005


Jian Zhou
Agent for Applicant
Reg. No. 41,422



CASE CLV-32843A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF
JOSEPH MICHAEL LINDACHER
APPLICATION NO: 10/766,194
FILED: JANUARY 28, 2004
FOR: OPHTALMIC LENS HAVING AN OPTICAL ZONE BLEND DESIGN

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

TERMINAL DISCLAIMER

Sir:

Novartis AG, a company, organized under laws of the Swiss Confederation, having a place of business at Lichtrasse 35, Basel Switzerland 4056, represents that it is the assignee and owner of the entire interest in the above-identified application by virtue of an assignment which was transmitted for recordation in the United States Patent and Trademark Office on May 2, 2005. A copy is attached hereto.

Novartis AG hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the above-identified application which would extend beyond the expiration date of the full statutory term defined in 35 USC §154-156 and §173 as shortened by any terminal disclaimer filed prior to the grant of any patent granted on pending **Application No. 10/980,589** filed November 3, 2004. Said Application No. 10/980,589 is also assigned to Novartis AG by virtue of an assignment which has been transmitted for recordation in the United States Patent and Trademark Office recently. A copy is attached hereto.

Novartis AG hereby agrees that any patent granted on the above-identified application shall be enforceable only for and during such period that it and any patent granted on Application No. 10/980,589 are commonly owned. This agreement runs with any patent granted on the above-identified application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, Novartis AG does not disclaim the terminal part of any patent granted on the above-identified application that would extend to the expiration date of the full statutory term as defined in 35 USC §154-156 and §173 of any patent granted on Application No. 10/980,589, as shortened by any terminal disclaimer filed prior to the patent grant, in the

event that any such granted patent: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321, has all claims cancelled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.

A terminal disclaimer fee under 37 CFR §1.20(d) is included.

Signed this 28th day of July, 2005 by the undersigned attorney of record.

CIBA Vision Corporation
Patent Department
11460 Johns Creek Parkway
Duluth, GA 30097-1556
(678) 415-4691

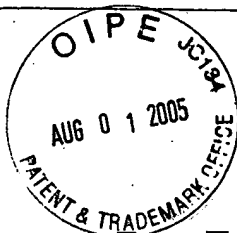

Jian Zhou
Agent for Applicant
Reg. No. 41,422

PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Joseph Michael Lindacher

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: April 20, 2005

2. Name and address of receiving party(ies)

Name: Novartis AG_____
_____Street Address: Lichtstrasse 35City: Basel State: Switzerland ZIP: 4056Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 20, 2005

A. Patent Application No.(s)

10/766,194

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert J. Gorman, Jr.Internal Address: CIBA Vision CorporationPatent DepartmentStreet Address: 11460 Johns Creek ParkwayCity: Duluth State: GA ZIP: 30097-15566. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

50-2965 (in the name of Ciba Vision)

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Jian ZhouName of Person Signing
Reg. No. 41,422

Signature

May 2, 2005

Date

☒ Certificate of Express Mail belowTotal number of pages including cover sheet, attachments, and document: 1

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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, DC 20231.

Type or print name

Signature

Date

ASSIGNMENT

JOSEPH MICHAEL LINDACHER

residing at

5057 Dovecote Trail,
Suwanee, GA 30024

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG**, a company organized under the laws of the Swiss Confederation, having a place of business at Lichtstrasse 35, Basel, Switzerland 4056, its successors, assigns and legal representatives, all my right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to me by local laws or by treaty, including any international convention for the protection of industrial property, in and for the United States and its territories and possessions in and to the invention entitled:

OPHTHALMIC LENS HAVING AN OPTICAL ZONE BLEND DESIGN

invented by me and described in the application for United States Letters Patent

Application No. 10/766,194, filed January 28, 2004,

including (1) said application for United States Letters Patent and all continuations and divisions thereof (including further continuations and divisions such as, but not limited to, continuations of continuations and divisions of continuations), (2) all United States Letters Patent which may be issued and/or granted on all such applications, (3) all applications for reissues and extensions of and reexamination certificates for all such United States Letters Patent and (4) all reissues and extensions and reexamination certificates issued for all such United States Letters Patent, the said interest being the entire ownership of said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates to be held and enjoyed by the said Novartis AG and its successors and assigns to the full end of the terms to which said United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by me if this sale, assignment and transfer had not been made;

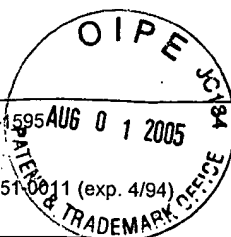
And I hereby agree to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of said applications for United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates in said assignee.

Signed this 20th day of April, 2005

by Joseph Michael Lindacher
JOSEPH MICHAEL LINDACHER

FORM PTO-1595
(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)



RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

PATENTS ONLY

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1. Name of conveying party(ies):

Joseph Michael Lindacher July 28, 2005

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: July 28, 2005

2. Name and address of receiving party(ies)

Name: Novartis AGStreet Address: Lichtstrasse 35City: Basel State: Switzerland ZIP: 4056Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

10/980,589

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

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- ☐ Enclosed
- ☒ Authorized to be charged to deposit account and any other additional fees required.

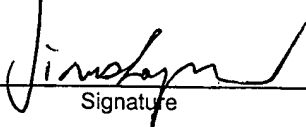
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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Jian ZhouName of Person Signing
Reg. No. 41,422
SignatureJuly 28, 2005
Date☒ Certificate of Express Mail belowTotal number of pages including cover sheet, attachments, and document: 3

CERTIFICATE OF MAILING

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Jennifer China
Type or print name
SignatureJuly 28, 2005
Date

ASSIGNMENT

I,

JOSEPH MICHAEL LINDACHER

residing at 5057 Dovecote Trail
Suwanee, Georgia 30024,

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis AG**, a company organized under the laws of the Swiss Confederation, having a place of business at Lichtstrasse 35, Basel, Switzerland 4056, its successors, assigns and legal representatives, all my right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to me by local laws or by treaty, including any international convention for the protection of industrial property, in and for the United States and its territories and possessions in and to the invention entitled:

TRANSLATING BIFOCAL WEAR MODALITY

invented by me and described in the application for United States Letters Patent

Application No. 10/980,589, filed November 12, 2003,

including (1) said application for United States Letters Patent and all continuations and divisions thereof (including further continuations and divisions such as, but not limited to, continuations of continuations and divisions of continuations), (2) all United States Letters Patent which may be issued and/or granted on all such applications, (3) all applications for reissues and extensions of and reexamination certificates for all such United States Letters Patent and (4) all reissues and extensions and reexamination certificates issued for all such United States Letters Patent, the said interest being the entire ownership of said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates to be held and enjoyed by the said Novartis AG and its successors and assigns to the full end of the terms to which said United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by me if this sale, assignment and transfer had not been made;

And I hereby agree to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of said applications for United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, United States Letters Patent (including reissue Letters Patent), extensions and reexamination certificates in said assignee.

Signed this 28th day of July, 2005 by Joseph Michael Lindacher
JOSEPH MICHAEL LINDACHER